CHAUDHARY RANBIR SINGH UNIVERSITY, JIND

ORDINANCE FOR DEGREE OF DOCTOR OF PHILOSOPHY (Ph.D.)

w.e.f. 2020-21 Session

1. APPLICATION AND COMMENCEMENT

- 1.1. Regulations for Ph.D. Program are based on University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degrees Regulations, 2016) and Amendments.
- 1.2. The ordinance shall be applicable from 2020-21. Therefore, this ordinance will not be applicable to the research scholars already registered under preceding ordinances.
- 1.3. All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods.
- 1.4. The degree of Doctor of Philosophy (Ph.D.) may be awarded in any Faculty of the University. Subject to the general guidance of the Academic Council, research studies in the University leading to the Degree of Doctor of Philosophy shall be regulated by the various Boards of Post Graduate Studies and Research (BOPGS&R).

2. ACADEMIC ELIGIBILITY

A candidate seeking admission to the Ph.D. Program must satisfy the following relevant academic criteria:

- (i) Master's degree with at least 55% marks in aggregate or its equivalent B grade in UGC seven-point scale in the subject concerned or in an allied subject.
- (ii) For Management Sciences -Master's Degree or any other degree recognized equivalent thereto in (a) Business Administration or Economics or Commerce or in allied subjects with at least 55% marks OR (b) Post Graduate Diploma in Management recognized equivalent to MBA by AICTE with 55% marks or its equivalent B grade in UGC seven-point scale.

OR

(iii) Candidates with Qualifications as laid down in (ii) shall also be eligible for pursuing Ph.D. in Economics/Commerce.

OR

(iv) M.Phil. degree (regular mode only and as per UGC norms) or a recognized equivalent degree beyond Master's degree level with atleast 55% marks or its equivalent B grade in UGC seven-point scale in the concerned or allied subject.

Note: The eligibility will be 50% or an equivalent grade for SC/ST/Differently Abled candidates of the Haryana State only.

3. APPLICATION FOR ADMISSION

- 3.1 The candidate shall apply for admission to Ph.D. program in a manner stipulated by the University from time to time.
- 3.2 Separate application form(s) shall be filled up for each subject.

4. ADMISSION PROCEDURE

4.1 Applications for admission to Ph.D. program shall be invited through advertisement once in a year normally in the month of November or as decided by the University. Departments may float seats with specialization, if deemed necessary.

- 4.2 The University shall make admissions to Ph.D. program through an Entrance Test. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific.
- 4.3 Qualifying marks in the entrance test shall be 50%. In case of SC/ST of Haryana State/ Differently abled candidates, a relaxation of 5% marks from 50% to 47.5% will be allowed. There will be no negative marking.

4.4 The following categories of candidates are exempted from entrance test for Ph.D.program:

- (i) The candidates who have qualified JRF/NET conducted by UGC/CSIR or GATE or any other similar examination/ SLET (Haryana State) as the case may be.
- (ii) Candidates who are awardee of the fellowship by the DST (INSPIRE), ICMR, ICSSR or any other equivalent national level fellowship or teacher fellowship holders.
- (iii) Candidates who have passed Ph.D. course work from any Government University/Institution or M.Phil. (through regular mode only).

Note: The candidates who are covered under the exempted categories may also appear in the entrance test, if they so desire, to improve their weightage. They will be required to exercise their option in the application form. Option, once exercised, will be final.

5. SUPERNUMERARY SEATS

The following category of supernumerary seats are earmarked for admission to Ph.D. program provided that they are otherwise eligible:

- (i) One supernumerary seat in each Department for candidates who are working as research fellow with Principal Investigator/Co-Principal Investigator having Research Project (more than 10 lacs) at CRSU, Jind from any government funding agency.
- (ii) One supernumerary seat in each Department for foreign/NRI students (see Annexure 1 for NRI seats).
- (iii) One supernumerary seat in each Department for University appointed teachers working on regular basis with minimum eight years of service. If such seat(s) remain vacant in a Department, then it will go to University non-teaching employees working on regular basis with minimum eight years of service.
- (iv) One supernumerary seat department-wise in all Faculties for the officers working on senior Administrative posts i.e. IAS/IFS/IPS/IRS etc. (Group A of Civil Services examination conducted by UPSC), having minimum 10 years of experience.
- (v) One supernumerary seat department-wise in the Faculty of Physical Sciences for the Scientists working in Centre/State Government funded Research Labs/Institutions on regular basis with minimum 8 years of service.
- (vi) One supernumerary seat department-wise in Commerce, Management, Economics andPsychology for the Corporate Professionals working atleast at General Manager (GM) level (or equivalent thereof) for minimum ten years at Corporate level with the condition that the company's turnover should be at least 250 crores per annum.

Note: The merit will be prepared on the basis of interview/viva voce of the candidate by DRC.

The following conditions apply with regard to supernumerary seats:

- a) Applicants are exempted from entrance test provided that they are otherwise eligible.
- b) The admission will be subject to the availability and consent of Supervisor. Number of seats under a supervisor should remain within limit as per Clause 16 of the Ordinance.
- c) The Chairpersons will ensure that Ph.D. student admitted under supernumerary category must complete any one of the following teaching-learning plan (at least 6 hours of teaching per day)which may be a blend of online-offline mode with prior approval of

the Vice Chancellor, A 30 days' modular Ph.D. Course work which shall be a weekend program spread over the whole semester with teaching-learning and evaluative arrangements to be made by the concerned Chairperson of the Department.(OR) A 30 days' modular Ph.D. course work customized for the proposed researcher by the Chairperson of the Department in consultation with course-in charge.

6. CRITERIA FOR PREPARING MERIT LIST FOR CANDIDATES APPLYING AGAINST ADVERTISED SEATS

- 6.1 The merit list of candidates seeking admission to Ph.D. Program against the number of seats advertised shall be prepared by the Department according to the following criteria:
- a). (i) 10% marks of the percentage of marks in the Master's degree examination.
 - (ii) 30% marks of the percentage of marks obtained in the entrance test.

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Weightage of 30 marks to those candidates who have passed M.Phil.in relevant subject through regular mode only.

OR

Weightage of 30 marks to those candidates who have passed, Pre Ph. D./Ph. D. Course Work in relevant subject from any Govt. University/Institution.

OR

Weightage of 30 marks to those candidates who have passed NET/SLET/GATE.

OR

Weightage of 35 marks to those candidates who have passed JRF.

- b) Weightage of 5 marks for passing post graduate examination from CRSU Jind.
- c) 50 Marks for Interview/viva-voce.

Note:

- i) The merit of the candidates who are availing themselves of weightage of JRF/NET will be considered in the category in which they were issued certificate by UGC/other equivalent agencies.
- ii) If two or more candidates secure identical marks in the merit, the candidate senior in age will be given preference.
- iii) The interview/viva voce shall also consider the following aspects, viz. whether:
 - 1. the candidate possesses the competence for the proposed research;
 - 2. the research work can be suitably undertaken at the Department;
 - 3. the proposed area of research can contribute to new/additional knowledge.

iv)The interview/viva voce shall be conducted by the DRC.

- 6.2 While granting admission of students to Ph.D. program, the Department will adhere to the State Government Reservation Policy.
- 6.3 The number of seats for Ph.D. program in each subject shall be intimated by the concerned University Teaching Department/College and advertised accordingly by the University.
- 6.4 The final merit list will be prepared by admission committee consisting of following members:
 - 1. Chairperson
 - 2. One Professor on rotation basis other than Chairperson
 - 3. One Associate Professor on rotation basis

4. One Assistant Professor on rotation basis.

7. COURSE WORK

7.1 The credit requirement for Ph.D. course work shall be of 12 credits in all comprising 3 courses of 4 credits each. The scheme of Ph.D. course work is as under:

Paper I: Research Methodology: covering areas such as quantitative methods, computer applications, research ethics and review of published research in

the relevant field, training, field work, etc.

Paper II: Departmental Elective Course: decided by the concerned department.

Paper III: Review of Literature, Research Ethics and Seminar: The scholars shall

review at least 25 research papers and shall submit the report as well as a presentation before three members committee duly constituted by the Dean of the Faculty and headed by the Chairperson of the concerned

department for evaluation.

Note: The DRC shall finalize supervisors for the candidates admitted in Ph.D. Programme within one month of the commencement of coursework so that the scholars can have necessary guidance for the paper III: Review of Literature, Research Ethics and Seminar' and start preparing synopsis for their research proposal.

- 7.2 Paper I & II shall have an internal assessment of 20% marks. It shall comprise of two written assignments and two presentations of 5% marks each. The concerned teacher/Chairperson of the Department shall maintain the record on the basis of which internal assessment has been awarded for atleast three months after the declaration of results.
- 7.3 The candidates who have obtained M. Phil. degree through regular mode or passed Pre Ph. D./Ph. D. course work in the relevant subject from any government university/institution shall be exempted from the Ph. D.Course Work.
- 7.4 Every candidate shall be examined in the course(s) as per criteria laid down in the scheme of examination approved by the Academic Council from time to time except the candidates who are exempted from the Ph.D. course work. However, the supervisor of Ph. D. course work exempted candidates shall be finalized by DRC within one month of the commencement of course work with the other candidates (those are not exempted from Ph. D. course work).
- 7.5 The amount of the examination fee to be paid shall be governed by the rules as applicable from time to time.
- 7.6 The candidate will be allowed to appear in course work examination, if he/she meetsthe following requirements:
 - (i) Bears a good moral character.
 - (ii) Has been on the rolls of Department/Institute during the concerned semester.
 - (iii) Has attended not less than 75% of lectures delivered.

Note: Relaxation in shortage of lectures up to 20% will be allowed by the Chairperson of the Department/Institute on the following grounds:

- Self-illness;
- Illness/death of parents, brother, sister or any other close family member;
- Any other reason beyond the control of the student to the satisfaction of the Chairperson of the Department/Institute.
- 7.7 The minimum pass marks shall be:
 - (a) 50 % marks in each written paper separately
 - (b) 50% marks in aggregate of theory and internal assessment
 - (c) 55 % marks in aggregate

- 7.8 A candidate who fails to pass or having been eligible fails to appear in the examination will be allowed to clear the reappear paper(s) in consecutive two chances in May/December on such dates notified by the University. In reappear cases, the student will be exempted to appear in such papers in which he/she has obtained 55 percent marks.
- 7.9 The internal assessment awards of a candidate who fails in examination shall be carried forward to the next examination.
- 7.10 If a candidate fails to pass Ph.D. course work examination within the time stipulated in Clause 7.8 above, his/her admission to Ph.D. program shall be cancelled.
- 7.11 The Controller of examination shall publish a list of candidates who have passed the Ph.D. course work examination.

8. COMPOSITION OF DRC & RAC

8.1 The Departmental Research Committee (DRC) and its Functions

- a) The Departmental Research Committee shall consist of all the regular faculty members of the department with Ph.D. Degree. However, outside member may be associated with the permission of the Vice Chancellor.
- b) DRC shall have the following functions:
 - (i) To allocate Supervisor to a student eligible for Ph.D. registration keeping into consideration the research interest of the student and consent of the supervisor.
 - (ii) To constitute the Research Advisory Committee (RAC) for each candidate on the recommendation of the Research supervisor.

8.2 Research Advisory Committee (RAC) and its Functions

- a) A Research Advisory Committee shall consist of the following:
 - i) Concerned Supervisor (Convener).
 - ii) One expert to be nominated by Vice Chancellor from a panel of outside subject expert provided by the concerned supervisor (Member).
 - iii) One faculty member of the concerned or related Department, preferably in the related research area (Member).
- b) The RAC shall have the following functions:
 - i) To review the research proposal and finalize the topic of research
 - ii) To appoint a Co-supervisor. Co-supervisor can also be allowed from the UTDs or from other institutions/colleges.
 - iii) To guide the research scholar to develop the study design and methodology of research.
 - iv) To periodically review the progress of the research work of the research scholar. The six monthly progress report of the research scholar alongwith the recommendations of RAC shall be forwarded to the Chairperson for further processing.

9 COMPREHENSIVE EXAMINATION

9.1 Each student will be required to take a comprehensive examination which will test students' comprehension of his/her broad field of research and his/her academic preparation and potential to carry out the proposed research plan. The comprehensive examination (of 100 marks) will be a combination of written (60 marks) and oral examination (40 marks) and will be conduct before evaluation of the research proposal. The examination will be conducted by RAC. The question paper for the written examination may be set by one or more of the members of the RAC.

The following procedures will be uniformly followed by all the departments in conducting

- the comprehensive examination for research students:
- (a) A Students should first clear the requisite Ph. D. course work.
- (b) The written examination should then be conducted by the RAC of the student within the guidelines laid down by respective DRC.
- (c) After the student has passed the written examination (36 marks out of 60 marks), the oral examination should be conducted by the RAC of the student
- (d) A student is required to score minimum of 60% marks in comprehensive examination (individually in written and oral) to become eligible for presenting his/her research before RAC.
- (e) The RAC on the basis of the performance of the student in the examination will make one of the following recommendations:
 - (i) Passed
 - (ii) To reappear in the examination after a defined period of time specified by RAC.
 - (iii) Failed
- 9.2 Each student will be required to submit and present a Research Plan before RAC. Based on the presentation of the student, RAC will make one of the following recommendations in regard of Research Plan:
 - (i) Recommended for Approval
 - (ii) Not recommended for approval and to re-submit the research plan keeping in view suggestions of the Committee and to be evaluated after a defined period of time.
- 9.3 A student will be pervaded a maximum of two attempts, with a minimum gap of two months, to pass the comprehensive examination.
- 9.4 Students must complete the comprehensive examination and approval of their research plans within two years, from the date of joining the programme failing which their registration will be cancelled.
- 9.5 Research Plan of each student shall be forwarded to DRC with recommendation of RAC. After approval of Research Plan of a student from DRC, it will be forwarded to BOPGS&R for further necessary action.

10 ELIGIBILITY FOR THE CANDIDACY FOR THE DEGREE

- 10.1 Application for the candidacy for the Ph.D. Degree shall be made by the student to the BOPGS&R on the application form duly approved by the DRC soon after having passed the comprehensive examination and approval of research plan for its consideration and recommendation.
- 10.2 The recommendations of BOPGS&R shall be placed before the Academic Council for its consideration and approval.
- 10.3 A student shall be formally registered as a candidate for the Ph.D. Degree after he/she has complied with the following:
- (a) Has completed his/her course work (Clause 7.7);
- (b) Has passed the comprehensive examination; and
- (c) Has submitted a research plan duly approved by RAC.
- 10.4 After the approval of the Academic Council, the candidate shall pay the registration fee etc. within a month of the date he/she is directed to deposit fee failing which his/her registration may stand cancelled automatically. However, the Vice Chancellor may extend this period in special circumstances with late fee as prescribed by the University from time to time.
- 10.5 The date of registration of the research scholar shall be the date on which the BOPGS&R recommends the registration of the candidate.
- 10.6 The list of Ph.D. registered students will be uploaded on the University website by the Registration and Scholarship (R&S) branch of the University. The list shall include the

name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.

11 PERFORMANCE MONITORING

- 11.1 The academic/research progress of each student will be monitored by RAC. For this purpose each candidate will be asked to submit a progress report at the end of each semester to his/her supervisor(s).On receipt of progress report, the supervisor(s) shall arrange with RAC for a review.
- 11.2 The progress report of a candidate will be submitted to DRC by the concerned supervisor through the Chairperson and duly recommended by RAC.
- 11.3 The DRC will evaluate the progress report of the candidate every semester.
- 11.4 A satisfactory report is to be awarded during that semester if the progress is satisfactory.
- 11.5 If the progress is unsatisfactory, the report must indicate 'Unsatisfactory Progress' and must include appropriate action appearance of 'Unsatisfactory Progress' report, a warning would be issued to the candidate by the DRC under intimation to BOPGS&R. If there are two consecutive 'unsatisfactory progress' reports, a written explanation will be sought from the student by DRC through his/her supervisorand the registration may be terminated.
- 11.6 Submission of progress report should continue till the submission of thesis.
- 11.7 The DRC shall send copy of all the progress reports to BOPGS&R for the all candidates.
- 11.8 The academic calendar will include the following dates: 31 December & 30 June for the submission of progress report.
 - In Exceptional cases BOPGS&R with the approval of the Vice Chancellor may condone the delay in submission of the progress report upto one month.
- 11.9 Ph.D. student shall publish minimum two research papers (at least one should be published and other may be in press/accepted) in UGC CARE list journals from his/her research work. The research scholar shall invariably, be first author and supervisor/co-supervisor/research guide shall be the corresponding author in atleast two research papers. In addition, a research scholar will make two paper presentations in conferences/seminars before the submission of the thesis. The proof of publications and paper presentation must be appended with the thesis.

12 MINIMUM AND MAXIMUM REGISTRATION REQUIREMENT

- 12.1 A candidate shall be required to be registered for the degree for a period of not less than two calendar years (24 month) from the date of registration of the concerned candidate.
- 12.2 The Candidates of all categories shall normally submit their thesis within a period of four years from the date of their admission in the Ph. D. Programme. However, as a special case, this limit may be extended to maximum up to seven years by the Vice Chancellor on the recommendation of RAC through DRC and BOPGS&R after which the registration shall stand cancelled automatically.

13 SYNOPSIS

- 13.1 On completion of research work, candidate shall submit a synopsis to RAC. After approval of synopsis from RAC, seven copies of synopsis shall be submitted to DRC through supervisor(s) and a pre Ph. D. seminar has to be given by the candidate before DRC. The DRC will forward the synopsis with its recommendations to the BOPGS&R. A panel of atleast 10 experts in the area would be suggested by the supervisor(s) and recommended by RAC while forwarding the title and synopsis of the thesis. The panel so recommended would include atleast 5 examiners from outside Haryana.
- 13.2 The candidate shall be required to submit fresh synopsis if he/she fails to submit his/her

thesis within 6 months of the submission of the earlier synopsis. However, in case a candidate fails to submit his/her thesis within the stipulated time and has suitable justification for the same, the BOPGS&R may on recommendations made by the DRC and individual merits of each case, grant him/her extension in time by not more than three months i.e. the candidate may be allowed to submit his/her thesis within a period not exceeding 9 months form the date of the synopsis. After expiry of 9 months fresh synopsis will be submitted and again Pre Ph. D. seminar will be conducted.

- 13.3 No research scholar shall join any other course of study or appear in any other examination conducted by any University leading to the award of Degree during the period of his/her study, otherwise his/her registration for Ph.D. will be cancelled by the Vice-Chancellor on the recommendations of the BOPGS&R. The action of the Vice-Chancellor in such cases will be placed before the Academic Council for information.
- 13.4 A research scholar can join part time/evening course in a Foreign Language or any knowledge/skill enhancement/value-added Diploma/Certificate course along with Ph.D. program.

14. GUIDELINES FOR SUBMISSION OF THESIS

- 14.1 The research scholar shall submit three printed/typed hard bind copies of the thesis, along with two soft copies of thesis in pdf file on separate CDs. Two copies of thesis meant for evaluation form and one copy will be sent to the library.
- 14.2 The typing/printing of thesis should be done on both sides of the paper (instead of single side printing) on A-4 size paper in font size '12' in 'Times New Roman' format.
- 14.3 The thesis should be typed in 1½ space. But the bibliography/references should be typed in single space.
- 14.4 The title page of Ph.D. thesis would be in Maroon Colour with Golden Printing on it.
- 14.5 The title/cover page will be as per the Standard Ph.D. thesis template of the University.
- 14.6 The thesis will include declaration from the candidate countersigned by the Supervisor and Chairperson of the Department in the following format:

Declaration

This is to certify that the material embodied in the present work entitled "_____" is based on my

original research work. It has not been submitted in part or full for any other diploma or degree of any University. My indebtedness to other works has been duly acknowledged at the relevant places.

(Signature of the Candidate with date)

Countersigned by

Supervisor with date

Chairpersonof the Department with date

14.7 A candidate shall also submit six copies of the summary of the thesis.

15. QUALIFICATIONS OF THE SUPERVISORS

- 15.1 Any regular faculty of the UTD/College with a Ph. D. degree and at least five research publications in refereed journals may be recognized as Research Supervisor.
- 15.2 Only a full time regular teacher of CRSU, Jind can act as a supervisor. The external supervisors are not allowed. However, Co- supervisor can be allowed in inter-disciplinary areas from UTD/College or from other related institutions with proper justification and approval of the Research Advisory Committee.

- 15.3 The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce.
- In case of relocation of a Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.

16. NUMBER OF RESEARCH SCHOLARS

The maximum number of Research Scholars to be registered under aSupervisor/Co-supervisor, at a given point of time, shall be as under:

Designation	University Appointed Teachers	Teachers of Affiliated Colleges/ institutions
Professor	8	5
Associate Professor	6	4
Assistant Professor	4	3

In case of UTD teachers, the number of research scholars registered under Supervisor at a given point of time should not be less than 50% of the maximum number of seats a Supervisor can have, except under exceptionalcircumstances beyond control. The Vice Chancellor may allow an additional seatbeyond the sanctioned intake to a Supervisor on the recommendations of P.G. Boardof Studies and Research on genuine grounds in exceptional circumstances. However, this additional seat will be adjusted as and when any of his/her candidates submits thesis.

NOTE:In case, where Supervisor is associated with a Co-supervisor of a ResearchScholar for the purpose of the limit as referred to in the above Clause, thenumber in each such case shall count as half (1/2) both for Supervisor/ Co-supervisor.

17. CHANGE OF SUPERVISOR

The change of Supervisor may be allowed in the following cases:

i) The Supervisor has expired or has left the services of the University or the supervisor proceeds on leave/deputation of more than one year and the research scholar makes a request in writing to change the supervisor.

OR

ii) By mutual consent of both the Supervisor and the Research Scholar.

OR

iii) In case of extreme hardship where it becomes almost impossible for a candidate to work/continue his/her research work with the Supervisor or in case the Supervisor or the candidate requests for the change of Supervisor on valid/genuine grounds. Such requests will be submitted to the Chairperson of the Department, who will put the matter before the Departmental Committee as well as the concerned BOPGS&R. However, the change in such cases will be allowed after the approval of the Academic Council.

18. MEDIUM

The medium of thesis shall be decided by the DRC of the concerned department.

19. RESERVATION/RELAXATION

- 19.1 Reservation of seats for applicants in each of the categories of the research scholars shall be in accordance with the policies of Govt. of Haryana. A total of three percent seats over and above the sanctioned strength will be reserved for physically handicapped applicants in all the Ph. D. programmes of the university put together.
- 19.2 Physically handicapped applicants may be permitted 5% marks of or equivalent CGPA relaxation in eligibility requirement in line with the policies of Govt. of Haryana. They will not be allowed any other relaxation beyond this limit even if they belong to SC/ST category.

20. PLAGIARISM CHECK

The following guidelines must be adhered to for submitting the Plagiarism report at the time of submission of Ph.D. thesis:

- 20.1 The Ph.D. thesis must undergo a Plagiarism check by Turnitin/iThenticate or any other software recommended by the University from time to time.
- 20.2 The similarity checks for plagiarism shall exclude the following: i) Quoted work(s) reproduced with proper attribution; ii) All references, bibliography, table of content, preface and acknowledgements; iii) All generic terms, laws, standard symbols and standard equations, mathematical formula; iv) Exclude a common knowledge or coincidental terms, up to fourteen (14) consecutive words; v) Small similarity less than 1% (to account for name of supervisor, institution, department etc.).
- 20.3 The admissible level of similarity is 10%.
- 20.4 Regarding self-Plagiarism or cases where published work of the student is shown as similarity in the check, a certificate (Plagiarism Self Exclusion Certificate) has to be issued by the Supervisor specifying and attaching the articles that have been published by the student from thesis work. Only these articles should be excluded from the check except theory and methodology. No other article of the Supervisor or the student should be excluded from the check. This will be for reference of the library which will perform the final check.
- 20.5 The final Plagiarism check from the University library is essential so that the correct report is submitted at the time of thesis submission.
- 20.6 The University Library will issue the Plagiarism Verification Certificate duly countersigned by the Supervisor that the similarity index is acceptable as per UGC guidelines applicable from time to time and adopted by the University. This certificate has to be included in the thesis.

21. FEE FOR PH.D. PROGRAM

Ph. D. candidate will be required to deposit fee prescribed by the university by time to time.

22. CHECKLIST OF DOCUMENTS

The University shall provide a 'Checklist of documents' to be included in the Ph.D.thesis, namely:

- i) Declaration from the candidate, as specified in Clause 14.6
- ii) Research publications, as specified in Clause 11.9
- iii) Research paper presentation certificate in an International/National Conference/ Seminar, as specified in Clause 11.9
- vi) Plagiarism Verification Certificate, as specified in Clause 20.6 etc.
- vii) Plagiarism Self Exclusion Certificate, as specified in Clause 20.4, if need be.

23. EVALUATION OF THESIS

- 23.1 The thesis shall be evaluated by two external examiners appointed by the Vice Chancellor out of the panel of external examiners already approved by BOPGS&R. At least one external examiner shall be from outside the State or Country. The examiners shall be requested to send their reports within one month.
- 23.2 Each examiner shall give detailed report on the thesis and make a clear-cut recommendation whether:
 - a) The thesis be accepted.

OR

b) The research scholar be asked to resubmit the thesis withimprovements/revisions.

OR

c) The thesis be rejected

In case the examiner(s) recommend the revisions/improvements of the thesis then he/she may make suggestions for such improvements/revisions as deemed fit. The research scholar shall be required to submit the revised thesis within one year of communication to him/her. After revision, the thesis shall be sent to the same examiner(s) for evaluation, unless he/she expresses his/her inability.

- 23.3 If one external examiner recommends award of Ph.D. degree and the other recommends rejection, then the thesis shall be sent to the third external examiner for taking a final decision. In any case, there should be two positive recommendations from the external examiners for the award of Ph.D. degree.
- 23.4 The examiners must categorically state whether the thesis is suitable/fit for publication in its original form or in a modified form as spelt out by them.
- 23.5 The examiners shall send a set of questions along with the report that they would like the research scholar to answer in the viva-voce examination.
- 23.6 A research scholar whose thesis is rejected shall not be registered again for Ph.D. with the same topic.

24. VIVA-VOCE EXAMINATION AND AWARD OF DEGREE

- 24.1 The viva-voce examination shall be held by a Board of Examiners consisting of Supervisor and one of the external examiners who evaluated the thesis, to be nominated by the Vice Chancellor, within a period of one month of the receipt of reports of evaluation of the thesis. In the event of non-availability of Supervisor with cogent reasons, in conducting viva-voce, the concerned Chairperson of the Department shall act as supervisor. In case, both the external examiners who evaluated the thesis are unable to conduct the viva-voce, the Vice Chancellor shall appoint another external examiner out of the panel to conduct the viva-voce. The viva-voce shall, unless ordered otherwise by the Vice Chancellor, be held at Jind in the Department concerned. The date, time and the subject of the thesis shall be notified by the Chairperson of the Department among the teachers and the research scholars of the concerned Faculty, who may be permitted to be present at the time of the viva-voce, but they shall have no right to put any question to the examinee. Viva-voce can be conducted through skype/video conferencing in normal course/circumstances or if the scholar and/or the external examiner are abroad and unable to come for viva-voce, upon their request, with the permission of Vice Chancellor.
- 24.2 The reports of the examiners on the thesis as well as on the viva-voce shall be placed before Research Degree Committee (RDC) consisting of the Vice Chancellor, Dean Academic Affairs, the Dean of the Faculty, the Chairperson of the Department concerned and Controller of Examinations within one month of the viva-voce. In the absence of the Vice Chancellor, the Dean Academic Affairs shall Chair the meeting. Either of the Vice Chancellor or the Dean Academic Affairs should be there to form the quorum. At least

three out of five members will form the quorum of the meeting. If the RDC desires, the Supervisor and the Research Scholar may be asked to appear before the Committee. The Controller of Examinations shall issue the notification of the award of Ph.D. Degree after clearance of the case by RDC.

- 24.3 If the Dean of the Faculty or Chairperson of the Department somehow is not able to participate in the meeting, the Vice Chancellor will co-opt any other senior member of the Faculty, in their place.
- 24.4 Following the successful completion of the evaluation process and announcements of the award of Ph.D., the University shall submit a soft copy (pdf file) of Ph.D. thesis to UGC within a period of thirty days for hosting the same on INFLIBNET which shall be accessible to all Institutions/ Universities.
- 24.5 Along with the Degree, the University shall issue a certificate certifying that the degree has been awarded in accordance with the provisions of the Ph.D. regulations of the UGC.

25. PUBLICATION OF THESIS

The permission for publication of the thesis, if applied for, by the concerned research scholar may be given by the Vice Chancellor if the examiners have recommended that the thesis is suitable/fit for publication. If there is difference of opinion between the examiners regarding the publication of the thesis, the case shall be referred to the committee consisting of the following for consideration and recommendations:

- (a) Dean of the Faculty -Chairperson
- (b) Chairperson of the concerned department -Member
- (c) Supervisor of the Research Scholar -Member

In case the Dean of Faculty/Chairperson of the Department is the Supervisor, the Vice Chancellor would nominate some other expert on the committee. The recommendations of the committee shall be referred to the Vice Chancellorfor consideration and approval.

26. AWARD OF UNIVERSITY RESEARCH SCHOLARSHIP (URS)

Guidelines governing the award the University Research Scholarship (URS) will be applicable as already notified.

27. SPECIAL NOTE

Notwithstanding anything contained in the Ordinance, the Vice Chancellor will have the final power to decide any matter related to Ph. D. course.

Annexure-I

Definition of NRI students for taking admission in various programs run by the University:

- 1. Actual NRI
- 2. At least one of the parents of such students should be an NRI and shall ordinarily be residing abroad as an NRI.
- 3. NRI sponsoring a student for admission should be a first degree relation of the student and should be ordinarily residing abroad as an NRI. First degree relation would include real brother and sister over and above the mother-father.
- 4. If the student has no parents or near relatives, or taken as a ward by some other nearest relative such students also may be considered for admission provided the guardian has bonafide treated the student as a ward and such guardian shall file an affidavit indicating the interest shown in the affairs of the student and also his relationship with the student and such person also should be an NRI, and ordinarily residing abroad.

Following will be covered under this category:

- i. Real brother and sister of father i.e. real uncle and real aunt.
- ii. Real brother and sister of mother i.e. real maternal uncle and maternal aunt.
- iii. Father and mother of father i.e. grandfather and grandmother.
- iv. Father and mother of mother i.e. maternal grandfather and maternal grandmother.

Admission against seats reserved for NRI candidates will be made in the above manner in order of priority.
